

IFW



Attorney Docket No.: 44342.018001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Re Application of: KUWABARA, et al.**

**SERIAL NO.: 10/781,433**

**Group Art Unit: 1614**

**FILED: February 17, 2004**

**Examiner: N/Y/A**

**FOR: HETEROCYCLIC COMPOUNDS**

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The above-referenced patent application is a divisional of U.S. Patent Serial No. 10/276,670, which has not yet issued as a patent.

Enclosed herein is a Form PTO-1449, listing those references cited during the prosecution of the parent application and including an additional document found in the prosecution of the application in the Japanese Patent Office. Copies of the other references can be found in the parent application file, and need not be provided herein. Applicants will, however, provide the subsequent submission and other such copies as per the Examiner's request.

The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**AUTHORIZATION**

As this Information Disclosure Statement is filed before a first Office Action has issued for this application, no fee is believed due.

Respectfully submitted,

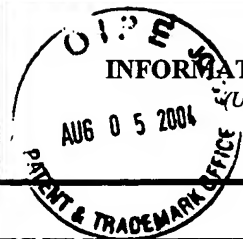
Greenberg Traurig, LLP

Date: August 2, 2004

By:

  
Eugene C. Rzucido

Attorney for Applicants  
Registration No. 31,900  
GREENBERG TRAURIG, LLP  
885 Third Avenue, 22nd Floor  
New York, NY 10022-4834  
(212) 801-2146



## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

44342.018001

Application Number

10/781,433

Applicant(s)

KUWABARA, et al.

Filing Date

February 17, 2004

Group Art Unit

1614

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		3,979,402	09/07/1976	Newberry, et al.			

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		EP 0 220 573	05/06/1987	EPO				
		EP 0 601 930	06/15/1994	EPO				
		WO 99/31056	06/24/1999	WIPO				
		EP 1 067 109	01/10/2001	EPO				
		EP 1 108 713	06/20/2001	EPO				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Bioorganic & Medicinal Chemistry Letters, 1994, vol. 4, No. 16, 1937-1940

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): KUWABARA, et al.

Docket No.

44342.018001

Serial No.

10/781,433

Filing Date

February 17, 2004

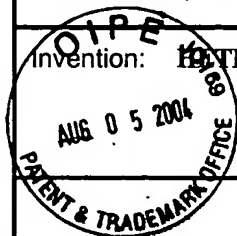
Examiner

N/Y/A

Group Art Unit

1614

Invention: HETEROCYCLIC COMPOUNDS



- 1) 2 pp. Transmittal of Information Disclosure Statement
- 2) 1 pp. of Information Disclosure Citation;
- 3) One (1) cited reference;
- 4) Certificate of Mailing by First Class Mail; and
- 5) Receipt acknowledgment post card.

I hereby certify that this

*(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

August 2, 2004*(Date)*Linda Garramone*(Typed or Printed Name of Person Mailing Correspondence)*

A handwritten signature in cursive script that reads "Linda Garramone".

*(Signature of Person Mailing Correspondence)***Note: Each paper must have its own certificate of mailing.**